



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

# Conditional Marketing Authorisations in the European Union

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An agency of the European Union





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1. EU Regulations and Guidance for Conditional Marketing Authorisations (MA)
2. EMA experience
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# 1. EU Regulations and Guidance



## Regulatory Background

- March 2004: Review of EU Pharmaceutical Legislation

Art 14(7)\*: "Following consultation with the applicant, an authorisation may be granted subject to certain **specific obligations**, to be reviewed annually by the Agency.

Such authorisation shall be **valid for one year** [*instead of 5*], on a renewable basis."

Motivation\*: "*In order to meet, in particular, the legitimate expectations of patients and to take account of the increasingly rapid process of science and therapies.*"

- March 2006: European Commission implementing Regulation 'on the Conditional Marketing Authorisation' adopted

\* Art 14(7) and Recital 33 of Regulation (EC) No 726/2004 of 31 March 2004



# Commission Regulation and CHMP Guideline on “Conditional Marketing Authorisation” (MA)\*

- **Scope:** medicinal products for
  - Seriously debilitating or life-threatening diseases
  - Emergency threats (WHO, EU Commission)
  - Orphan medicinal products
  
- **Requirements**

A Conditional MA may be granted when, although comprehensive clinical data have not been provided, all of the following requirements are met:

  - a) Benefit/Risk balance is positive
  - b) It is likely that comprehensive clinical data will be provided
  - c) Unmet medical needs will be fulfilled
  - d) Benefit to public health of immediate availability outweighs risks that additional data are still required



- Conditional MA will be subject to **specific obligations** to complete ongoing studies, or to conduct new studies with a view to confirming the positive Benefit/Risk balance.

Applicant to provide reassurance on the **feasibility** and quality of additional studies to be performed

*" where (timely) completion of further studies required for the confirmation of the Benefit/Risk can not be expected, this may lead to a negative opinion on the granting of a conditional MA"*

- Financial **penalties** in case of infringement of the specific obligations
- Nature of approval, obligations and timeframes **publicly available**
- Conditional MA only for **initial MA** Applications, not for variations (supplements)
- Conditional MA **valid for one year**, renewable



- **Renewal of Conditional MA:**
  - confirm the Benefit/Risk balance
  - review status of the Specific Obligations
- MA Holder to submit:
  - Overview of data submitted since the granting of Conditional MA, status / outcome of assessment
  - Specific Obligation data and/or PSUR data, when due at renewal
  - Interim report on the status of the Specific Obligations  
*(synopsis, accrual, event rates, adverse events, expected timing of endpoint analyses, study conduct and compliance, issues which may impact on feasibility or timing of study)*
- CHMP to assess renewal application within 90 days  
Confirm Benefit-Risk balance or recommend regulatory action  
May modify 'label' (SmPC), Specific Obligations and timeframes
- Upon fulfilment of all specific obligations, the conditional MA may **convert** to a 'normal' MA



## “MA Under Exceptional Circumstances”

- Available since the start of EMA’s Centralised Procedure  
Confirmed in the 2004 Review of EU pharmaceutical legislation\*
- Applicant **unable** to provide comprehensive clinical data because of:
  - rarity of the disease
  - present state of scientific knowledge
  - ethical constraints

→ Marketing Authorisation ‘under Exceptional Circumstances’
- **“Specific Procedures/Obligations”** - focus on safety studies
- MA valid for 5 years (renewable), but  
annual re-assessment of the benefit/risk balance by CHMP

\* Art 14(8) of Regulation (EC) No 726/2004; previously Art 13(2) of Regulation (EC) No 2309/93



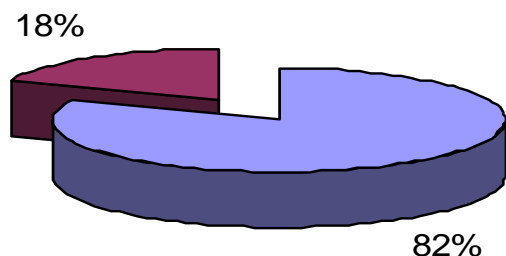


## 2. EMA Experience



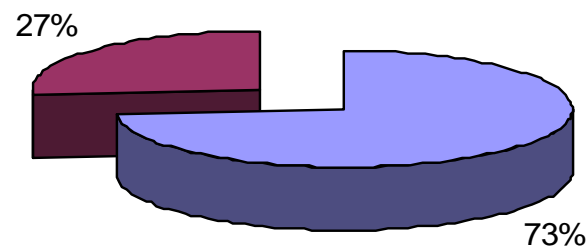
# New Marketing Authorisation Applications Use of 'Exceptional Circumstances' 1995-2005\*

All therapeutic areas (incl oncology)



■ 'Normal' MA  
■ MA under Exceptional Circumstances

Oncology



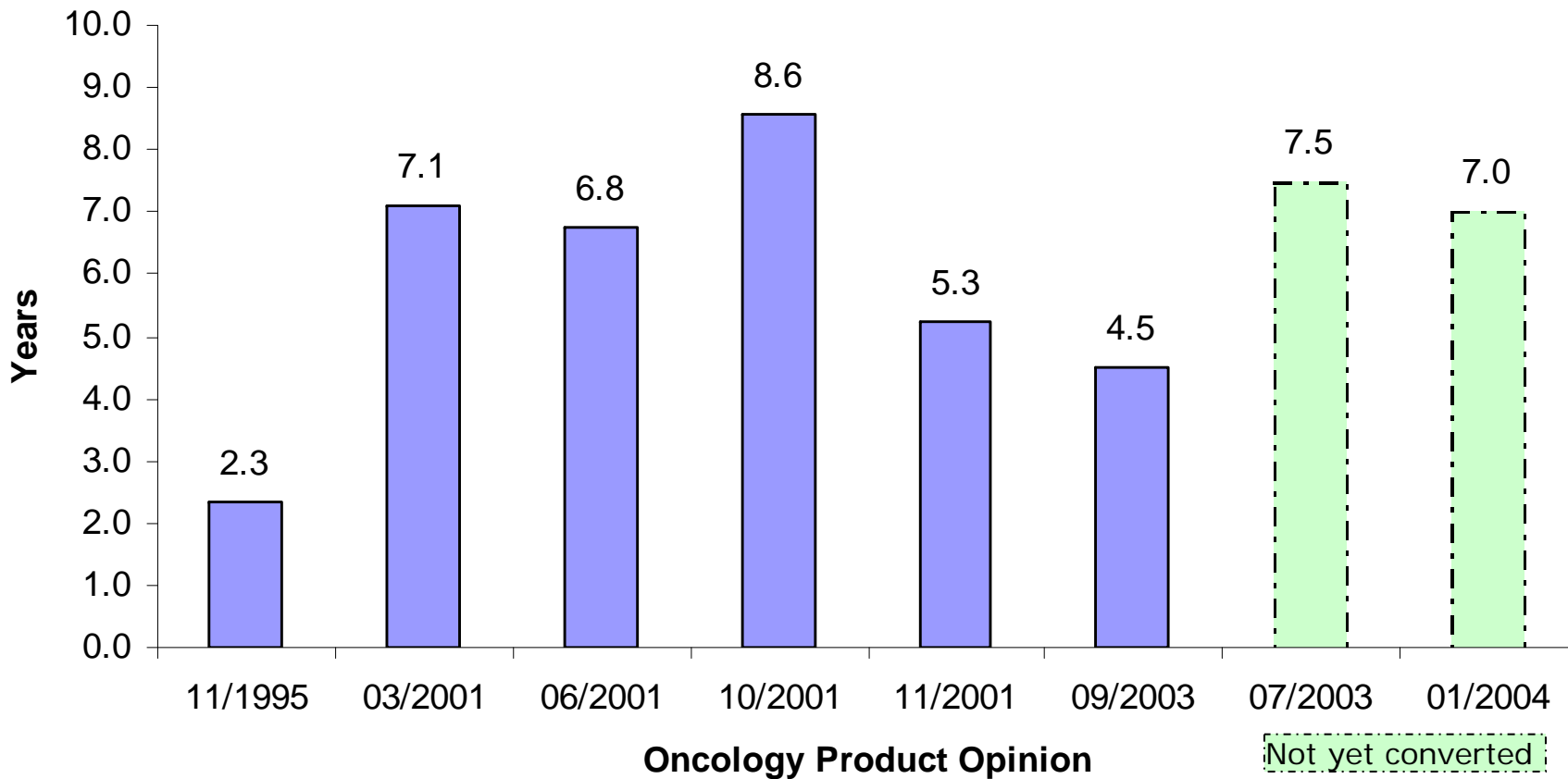
■ 'Normal' MA  
■ MA under Exceptional Circumstances

- Taxotere (docetaxel)
- Foscan (temoporfin)
- MabCampath (alemtuzumab)
- Trisenox (arsenic trioxide)
- Glivec (imatinib)
- Xagrid (anagrelide),
- Velcade (bortezomib)
- Zevalin (ibrutinomab)

\* CHMP Opinions; excluding duplicates



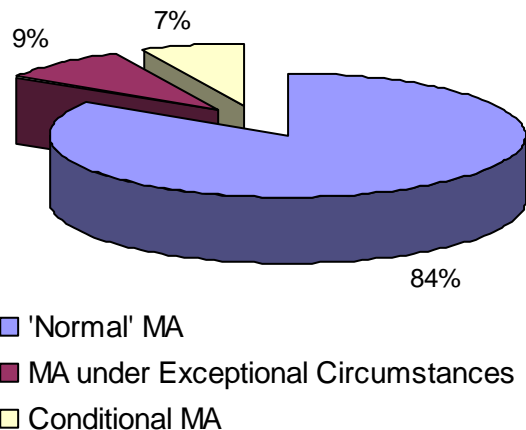
### Time from Exceptional Circumstances (1995-2005) to Normal MA



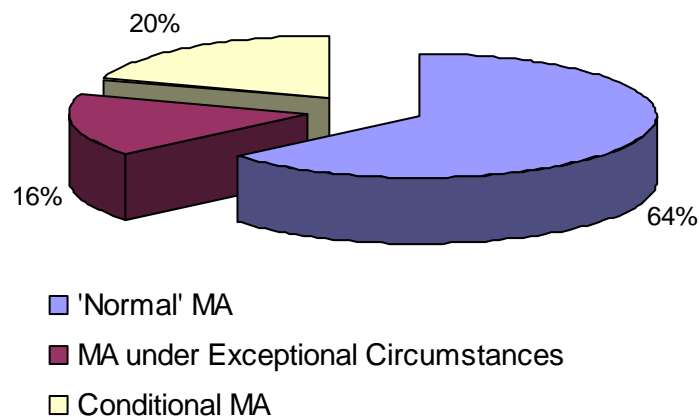


# New Marketing Authorisation Applications Outcome 2006-2010\*

All therapeutic areas (incl oncology)



Oncology



CHMP Opinions* (Oncology)	2006	2007	2008	2009	2010
'Normal' MA	2	5	4	4	1
Except Circum	1 Evoltra(clofarabine)	2 Atriance(nelarabine) Yondelis(trabectedin)	1 Ceplene (histamine)	0	0
Conditional MA	1 Sutent (sunitib)	1 Vectibix (panitumumab)	1 Tyverb (lapatinib)	0	2 Arzerra (Ofatumumab) Votrient (pazopanib)

\*CHMP Opinions: Art 8(3) applications only; excluding duplicates

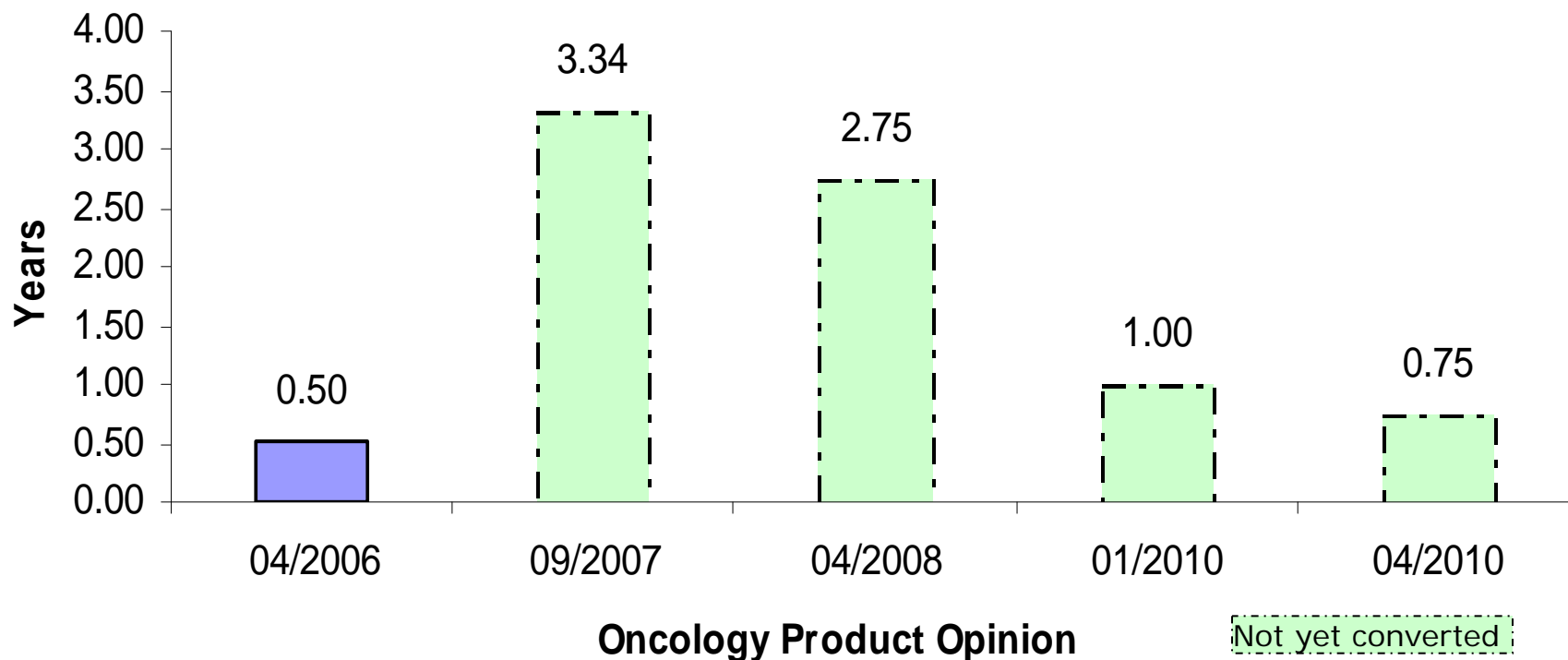


## EU Conditional MA – oncology

- **Efficacy data at time of approval, e.g.**
  - Phase II single-arm trial(s); ORR endpoint (*Sutent, Arzerra*)
  - Single randomised controlled Phase III trial; TTP or PFS endpoint (*Vectibix, Tyverb, Votrient*)
- **Confirmatory efficacy data requested as Specific Obligation, e.g.**
  - Updated OS analysis of pivotal trial
  - Results from ongoing Phase III randomised controlled trials; PFS or OS endpoint
  - New randomised controlled Phase III trial, incl. non-inferiority
  - New Phase IV observational study
  - Data on use and performance of KRAS testing kits



## Time from Conditional MA (2006-2010) to Normal MA





# EU Scientific Advice on Conditional MA

2006-2010: 91 Scientific Advice procedures on Conditional MA  
37 for oncology products

- Questions on eligibility for Conditional MA
- Questions on adequacy of efficacy data at time of MA submission
- Questions on adequacy of safety database at time of MA submission
- Questions on design of Specific Obligation studies

**Parallel Scientific Advice** from EMA and FDA possible



## 3. Conclusions





- EU legislative framework & guidance on Conditional MA in place. In effect since April 2006.
- Medicinal products **fulfilling unmet medical need**  
For severe, life-threatening or rare diseases  
Different trial design and endpoints than usually expected  
**Positive benefit-risk** balance to be demonstrated  
'Specific Obligation' data from ongoing and new studies
- **Yearly renewal** for Conditional MA: ensures close monitoring of compliance with post-authorisation data requirements
- Early Access tools (Conditional MA and Except Circum) applied to approx. 2 new oncology products per year.
- Seek **EU Scientific Advice** on Conditional MA **early** during development



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# Thank you



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